

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Peter Styczynski et al.

Art Unit : 1617

Serial No.: 09/893,252

Examiner: Lauren O. Wells

Filed

: June 27, 2001

Title

: REDUCTION OF HAIR GROWTH

Commissioner for Patents

P.O. Box 1450

Alexandria, VA 22313-1450

INFORMATION DISCLOSURE STATEMENT

Applicants submit the references listed on the attached form PTO-1449. Copies of the Notification of Transmittal of the International Search Report and PCT Written Opinion in a counterpart application are also enclosed.

This filing is being made with the filing of a Request for Continued Examination. No fee is required.

Respectfully submitted,

Date: October 14, 2003

Nabinger Reg. No. 33,431

Fish & Richardson P.C. 225 Franklin Street Boston, MA 02110-2804 Telephone: (617) 542-5070 Facsimile: (617) 542-8906

20736618.doc

CERTIFICATE OF MAILING BY FIRST CLASS MAIL

I hereby certify under 37 CFR §1.8(a) that this correspondence is being deposited with the United States Postal Service as first class mail with sufficient postage on the date indicated below and is addressed to the Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

Date of Deposi

Sherry L. Hunt

Typed or Printed Name of Person Signing Certificate

Sheet	1	of	1	

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	Substitute Form PTO-1449 (Modified)	U.S. Department of Commerce Patent and Trademark Office	Attorney's Docket No. 00216-552001	Application No. 09/893,252
0	T 1 6 2003 H hy Appli		Applicant Peter Styczynski et al.	
, Ç	(Use several sheets (37, C88 \$1.98(b))	s if necessary)	Filing Date June 27, 2001	Group Art Unit 1615
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			U.S. Pate	ent Documents			
Examiner Initial	Desig. ID	Document Number	Publication Date	Patentee	Class	Subclass	Filing Date If Appropriate
	AA	6,017,912	01/25/00	Bussell			
	AB						
	AC					F	
	AD					The Op	70.
	AE					Da.	Vija
	AF				50	(C) 262	20-
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	AH					CLANTIC	2/2000
	AI						1. 1. C.J.
	AJ						
	AK						

	Foreig	n Patent Doo	uments or F	ublished Foreign P	atent A	Application	าร	
Examiner	Desig.	Document	Publication	Country or			Trans	lation
Initial	ID	Number	Date	Patent Office	Class	Subclass	Yes	No
	AL	WO 99/19466	04/22/99	PCT				
	AM	WO 98/23276	06/04/98	PCT				
	AN	9-143096	06/03/97	Japan (English Abstract Only)				
	AO							
	AP							

Other Documents (include Author, Title, Date, and Place of Publication)								
Examiner Initial	Desig. ID	Document						
	AQ							
	AR							
	AS							
	AT							

Examiner Signature	Date Considered
EXAMINER: Initials citation considered. Draw line through citation if no	t in conformance and not considered. Include copy of this form with

PATENT COOPERATION TREATY

From the INTERNATIONAL S EARCHING AUTHORITY

To: LADAS & PARRY Attn. Richards, John 26 West 61st Street	RECE VED	THE INTERNATIO	OF TRANSMITTAL OF NAL SEARCH REPORT DECLARATION	г
New York, NY 10023 UNITED STATES OF AMERICA	L. & P.	(PCT	「Rule 44.1)	
	Date of mail (day/month)		2003	
Applicant's or agent's file reference H-245	FOR FUR	THER ACTION	See paragraphs 1 and 4	below
International application No. PCT/US 02/18702	Internationa (day/month		2002	
Applicant THE GILETTE COMPANY				
The applicant is hereby notified that Filing of amendments and statem The applicant is entitled, if he so wis	ent under Article 19:			
When? The time limit for filing suc International Search Repo	h amendments is normally 2 months rt; however, for more details, see the	from the date of trans notes on the accomp	mittal of the anying sheet.	~
34, chem 1211 Ger	nal Bureau of WIPO In des Colombettes neva 20, Switzerland No.: (41-22) 740.14.35			
For more detailed instructions, se				
The applicant is hereby notified that Article 17(2)(a) to that effect is trans	no International Search Report will i mitted herewith.	be established and th	at the declaration under	
the protect together with the di	t payment of (an) additional fee(s) un acision thereon has been transmitted the texts of both the protest and the	to the International E	Sure au together with the	
no decision has been made ye	t on the protest; the applicant will be	notified as soon as a	decision is made.	
4. Further action(s): The applicant is ren	ninded of the following:			
Shortly after 18 months from the priority of the applicant wishes to avoid or postporiority claim, must reach the internation completion of the technical preparations	one publication, a notice of withdraw nat Bureau as provided in Rules 90£	al of the international	application, or of the	
Within 19 months from the priority date, a wishes to postpone the entry into the na	demand for international preliminar	y examination must be e priority date (in son	e filed if the applicant ne Offices even later).	
Within 20 months from the priority date, to before all designated Offices which have priority date or could not be elected becomes.	e not been elected in the demand o	r in a later election wi	to the national phase thin 19 months from the	
Name and mailing address of the International European Patent Office, P.B. 58 NL-2280 HV Rijsellk Tel. (23) 7-70) 340-244-754-351-	18 Patentiaan 2	officer Las Michale	czek	
Form PCT/ISA/220 (July 1998)	E	sitsa		

INT ERNATIONAL SEARCH REPORT

International Application No PCT/US 02/18702

A CLASSIFICATION OF SUBJECT MATTER
IPC 7 A61K7/00 A61K7/06
A61K48/00

C. DOCUMENTS CONSIDERED TO BE RELEVANT

abstract

abstract

abstract examples; claims A61K31/19

A61K31/4745 A61K31/56

Relevant to claim No.

22,23,27

22,23,27

22,23,27

According to International Patent Class Tification (IPC) or to both national classification and IPC

Category . Citation of document, wit in indication, where appropriate, of the relevant passages

(BG)) 4 June 1998 (1998-06-04)

3 June 1997 (1997-06-03)

JP 09 143096 A (POLA CHEM IND INC)

US 6 017 912 A (BUSSELL LETANTIA)

25 January 2000 (2000-01-25)

WO 98 23276 A (GABEV EVGENI EVGENIEV ; ANRET LTD (BG); GABEV EVGENI BOGOMILOV

B. FIELDS SEARCHED

X

Minimum documentation searched (cla≊ssification system followed by classification symbols) IPC 7 A61K

Documentation searched other than mil nimum documentation to the extent that such documents are included in the fields searched

Electronic data base consulted during the international search (name of data base and, where practical, search terms used)

WPI Data, PAJ, EPO-Internal, BIOSIS, MEDLINE, EMBASE, PASCAL, SCISEARCH

+ Wo 99/1946G	-/ -
X Further documents are listed in the continuation of box C.	Patent family members are listed in annex.
Special categories of cited documents: A document defining the general state of the art which is not considered to be of particular prior which is not considered to be of particular prior which is not for the state of the st	To later document published after the intermalloral filing data can be applied to the control of
Date of the actual completion of the international search 15 July 2003	07/08/2003
Name and meiling address of the ISA European Patent (Diso, P.B. 5616 Patentisan 2 Nt. – 2206 Pt Patentisan Tet, (-31-70) 340-3616 Fac: (-31-70) 340-3616	Authorized officer Hornich, E

INT ERNATIONAL SEARCH REPORT

International Application No PCT/US 02/18702

C.(Continua	tion) DOCUMENTS CON SIDERED TO BE RELEVANT	
Category *	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
A	IZBICKA E ET AL: "Effects of cationic porphyrins as 6-quadruplex interactive agents in human tumor cells." CANCER RESE ARCH. UNITED STATES 1 FEB 1999, vol. 59, no. 3, 1 February 1999 (1999-02-01), pages 639-644, xp 002247791 ISSN: 0008-5472	
A	RAMIREZ RUBEN D ET AL: "Telomerase activity concentrates in the mitotically active segments of human hair follicles." JOURNAL OF INVESTIGATIVE DERMATOLOGY, vol. 108, no. 1, 1997, pages 113-117, XP009013613 ISSN: 0022-202X	
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FURTHER INFORMATION CONTINUED FROM PCT/ISA/ 210

Continuation of Box I.2

Claims Nos.: 4, 5

1. The subject—matter of present claims 1, 19-21, 22, 25, 27 and 28 (and the dependent claims 3-18) is defined by means of the functional features 'inhibitor of telomerase', 'a compound that reduces telomerase levels in the hair follicles', 'a compound that reduces telomerase mRNA expression in the hair follicles', 'a compound that promotes the erosion of telomeric DNA in the hair follicles'.

Because of the character of the functional features, it cannot be quaranteed that the performed search is complete.

It cannot be excluded that compounds fulfilling the requirements of the functional feature have not been identified as doing so in the prior art. If such compounds have not been identified in the application either, they have not been covered by the search.

The search has been carried out, based on the functional features per se as well as the examples given in the application and the compounds particularly mentioned in claim 2.

It is further pointed out that the substantive examination can only be carried out to the same extent as the search.

Present claims 4 and 5 relate to the use of a composition which is defined by reference to a desirable characteristic or property.An attempt is made to define the composition by reference to a result to be achieved and thus is unclear in the sense of Art. 6 PCT.

The applicant's attention is drawn to the fact that claims, or parts of claims, relating to inventions in respect of which no international search report has been established need not be the subject of an international preliminary examination (Rule 66.1(e) PCI). The applicant is advised that the EPO policy when acting as an International Preliminary Examining Authority is normally not to carry out a preliminary examination on matter which has not been searched. This is the case irrespective of whether or not the claims are amended following receipt of the search report or during any Chapter II procedure.

FURTHER INFORMATION C ONTINUED FROM PCT/ISA/ 210

Continuation of Box I.2

Claims Nos.: 4, 5

1. The subject—matter of present claims 1, 19-21, 22, 25, 27 and 28 (and the dependent claims 3-18) is defined by means of the functional features 'inhibitor of telomerase', 'a compound that reduces telomerase nextles in the hair follicles', 'a compound that reduces telomerase mRNA expression in the hair follicles', 'a compound that promotes the erosion of telomeric DNA in the hair follicles'.

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It cannot be excluded that compounds fulfilling the requirements of the functional feature have not been identified as doing so in the prior art. If such compounds have not been identified in the application either, they have not been covered by the search.

The search has been carried out, based on the functional features per se as well as the examples given in the application and the compounds particularly mentioned in claim 2.

- It is further pointed out that the substantive examination can only be carried out to the same extent as the search.
- Present claims 4 and 5 relate to the use of a composition which is defined by reference to a desirable characteristic or property. An attempt is made to define the composition by reference to a result to be achieved and thus is unclear in the sense of Art. 6 PCT.

The applicant's attention is drawn to the fact that claims, or parts of claims, relating to inventions in respect of which no international search report has been established need not be the subject of an international preliminary examination (Rule 66.1(e) PCT). The applicant is advised that the ETO policy when acting as an International Preliminary Examining Authority is normally not to carry out a preliminary examination on matter which has not been searched. This is the case irrespective of whether or not the claims are amended following receipt of the search report or during any Chapter II procedure.

INTERNATIONAL SEARCH REPORT

International application No. PCT/US 02/18702

Box I Observations where certain claims were found unsearchable (Continuation of item 1 of first sheet)
This International Search Report in as not been established in respect of certain claims under Article 17(2)(a) for the following reasons:
Claims Nos.: because they relate to surbject matter not required to be searched by this Authority, namely:
Although claims 1-21, 24 and 28 are directed to a method of treatment of the human/animal body, the search has been carried out and based on the alleged effects of the compound/composition.
Zi Xi Claims Nos.: 4, 5 because they relate to parts of the International Application that do not comply with the prescribed requirements to such an extent that no meaning full international Search can be carried out, specifically:
see FURTHER INFORMATION sheet PCT/ISA/210
Claims Nos.: because they are dependent claims and are not drafted in accordance with the second and third sentences of Rule 6.4(a).
Box II Observations where unity of invention is lacking (Continuation of item 2 of first sheet)
This international Searching Authority found multiple Inventions in this international application, as follows:
As all required additional search fees were timely paid by the applicant, this international Search Report covers all searchable claims.
As all searchable claims could be searched without effort justifying an additional fee, this Authority did not invite payment of any additional fee.
As only some of the required additional search fees were timely paid by the applicant, this international Search Report
As only some of the required additional search tees were timely paid by the applicant, this international Search Report covers only those claims for which fees were paid, specifically claims Nos.:
*
4. No required additional search fees were timely paid by the applicant. Consequently, this International Search Report is restricted to the invention first mentioned in the claims; it is covered by claims Nos.:
Remark on Protest The additional search fees were accompanied by the applicant's protest.
No protest accompanied the payment of additional search fees

INT ERNATIONAL SEARCH REPORT

information on patent family members

International Application No PCT/US 02/18702

Patent document cited in search report		Publication date		Patent family member(s)	Publication date
WO 9823276	A	04-06-1998	AU WO BG	4937097 A 9823276 A2 101011 A	22-06-1998 04-06-1998 29-05-1998
JP 09143096	Α	03-06-1997	NONE		
US 6017912	Α	25-01-2000	NONE		15/4

PATENT COOPERATION TREATY

From		TION	AL PRELIMINARY EX	AMINING AUTHORITY		
To:						PCT
LAI	hards	& PA	RRY		ENED	
			Street Y 10023	REC	-003	WRITTEN OPINION
			D'AMERIQUE	DUA	2 7 2003	(PCT Rule 66)
					.8.P.	
					Date of mailing (day/month/year)	21.08.2003
App H-2		or ag	ent's file reference		REPLY DUE	within 1 month(s) from the above date of mailing
	mation T/US		lication No. 702	International filing date (date (date)	day/month/year)	Priority date (day/monthlyear) 27.06.2001
	nation		ent Classification (IPC) or	both national classification	and IPC	
	licant E GIL	ETTI	E COMPANY et al.	*		
	i ii iv v vi vii		Lack of unity of inven Reasoned statement citations and explana Certain documents ci Certain defects in the	tion under Rule 66.2(a)(ii) w tions supporting such st	ith regard to novel atement	step and industrial applicability Ity, inventive step or industrial applicability
3.	The	applic	cant is hereby invited t	o reply to this opinion.		
	Whe	n?	See the time limit indica request this Authority to	ted above. The applicant m grant an extension, see Ru	ay, before the expira le 66.2(d).	ation of that time limit,
	How	?	By submitting a written r For the form and the lan	eply, accompanied, where guage of the amendments,	appropriate, by ame see Rules 66.8 and	ndments, according to Rule 66.3. 66.9.
	Also	•	For the examiner's oblig	unity to submit amendment ation to consider amendme ication with the examiner,	nts and/or argument	is, see Rule 66.4 bis.
	If no	reply	is filed, the international p	orellminary examination rep	ort will be establishe	ed on the basis of this opinion.
4.			date by which the intern on report must be estab	ational preliminary Dished according to Rule	e 69.2 is: 27.10.20	003
Nam	e and r	mailing	address of the internation	nal	Authorized Officer	
prelin	ninary		ning authority:		Hornich, E	grand may
European Patent Office D-80298 Munich Tel. +49 89 2399 - 0 Tx: 523656 epmu d					Formalities officer Morancho Alca	(incl. extension of time limits)

EL WD TR

19/21/03

Rasis		

1.	With regard to the elements of the international application (Replacement sheets which have been furnished to
	the receiving Office in response to an invitation under Article 14 are referred to in this opinion as "originally filed")

	De	scription, rages				
	1-9	ı	as originally filed			
	Cla	ims, Numbers				
	1-2	8	as originally filed			
2.	Wit	With regard to the language , all the elements marked above were available or furnished to this Authority in the language in which the international application was filed, unless otherwise indicated under this item.				
	The	ese elements were a	vailable or furnished to this Authority in the following language: , which is:			
		the language of pub	anslation furnished for the purposes of the international search (under Rule 23.1(b)). slication of the international application (under Rule 48.3(b)), anslation furnished for the purposes of international preliminary examination (under 3).			
3.	Wit inte	With regard to any nucleotide and/or amino acid sequence disclosed in the international application, the international preliminary examination was carried out on the basis of the sequence listing:				
		contained in the inte	ernational application in written form.			
		filed together with th	e international application in computer readable form.			
		furnished subsequently to this Authority in written form.				
		furnished subseque	ntly to this Authority in computer readable form.			
		The statement that to in the international a	he subsequently furnished written sequence listing does not go beyond the disclosure pplication as filed has been furnished.			
		The statement that t listing has been furn	he information recorded in computer readable form is identical to the written sequence ished.			
4.	The	amendments have r	esulted in the cancellation of:			
		the description,	pages:			
		the claims,	Nos.:			
		the drawings,	sheets:			
5.		This opinion has been established as if (some of) the amendments had not been made, since they have been considered to go beyond the disclosure as filed (Rule 70.2(c)).				
		(Any replacement stopinion.)	eet containing such amendments must be referred to under item 1 and annexed to this			
6.	Add	litional observations, i	f necessary:			
ш.	Nor	n-establishment of o	pinion with regard to novelty, inv ntive step and industrial applicability			

PCT/US02/18702

 The questions whether the claimed invention appears to be novel, to involve an inventive step (to be n obvious), or to be industrially applicable have not been and will not be examined in respect of: 						
☐ the entire international application,						
	☑ claims Nos. 1-22, 24, 25, 27, 28;					
	because:					
	Ø	the said international application, or the said claims Nos. 1-21, 24, 28 (with regard to industrial applicability relate to the following subject matter which does not require an international preliminary examination (specify):				
	see separate sheet					
		the description, claims or drawings (indicate particular elements below) or said claims Nos. are so unclear that no meaningful opinion could be formed (specify):				
		the claims, or said claims Nos. are so inadequately supported by the description that no meaningful opinion could be formed.				
	⊠	no international search report has bee	n established for the said claims Nos. 4, 5; 1, 3-22, 25, 27, 28 (partly)			
2.	A w	written opinion cannot be drawn due to the failure of the nucleotide and/or amino acid sequence listing to imply with the Standard provided for in Annex C of the Administrative Instructions:				
		the written form has not been furnished	d or does not comply with the Standard.			
		the computer readable form has not be	een furnished or does not comply with the Standard.			
٧.	. Reasoned statement under Rule 66.2(a)(ii) with regard to novelty, inventive step or industrial applicability; citations and explanations supporting such statement					
1.	Stat	atement				
	Novelty (N) C		1-3, 6-21, 24 (yes); 22, 23, 25-28 (no)			
	Inve	ventive step (IS) Claims	1-3, 6-21, 22-28 (no)			
	Indu	dustrial applicability (IA) Claims	22, 23, 25-27 (yes)			
2.	Cita	tations and explanations	6			
	see	e separate sheet				

SECTION III

- The IPEA will only formulate an assessment of novelty, inventive step and industrial
 applicability for the parts of the present claims for which an International Search
 Report has been drawn up (R. 66.1(e) PCT)(cf. form PCT/ISA/210, Box I), i.e. claims
 1,3-22,25,27 and 28 parity.
- Claims 1-21. 24 and 28 relate to subject-matter considered by this Authority to be covered by the provisions of Rule 67.1(iv) PCT. Consequently, no opinion will be formulated with respect to the industrial applicability of the subject-matter of these claims (Article 34(4)(a)(i) PCT).
- The subject-matter of <u>claims 4 and 5</u> is unclear as relating to a <u>result to be achieved</u>, thus not fulfilling **R. 6.3 PCT** defining that 'claims shall define the matter for which protection is sought in terms of the technical features of the invention'.

SECTION V with regard to item 1.

References:

D1: WO 98 23276 A **D2**: JP 09 143096 A

D3: US-A-6 017 912

D4: IZBICKA E ET AL: 'Effects of cationic porphyrins as G-quadruplex interactive agents in human tumor cells.' CANCER RESEARCH. UNITED STATES 1 FEB 1999, vol. 59, no. 3, 1 February 1999 (1999-02-01), pages 639-644, ISSN: 0008-5472.

D5: FIAMIREZ RUBEN D ET AL: 'Telomerase activity concentrates in the mitotically active segments of human hair follicles.' JOURNAL OF INVESTIGATIVE DERMATOLOGY, vol. 108, no. 1, 1997, pages 113-117, ISSN: 0022-202X.

It is furthermore made reference to the following document which was not cited in the International Search Report; a copy is adhered to the Written Opinion:

D6: WO 99/19466

5. Novelty (Art. 33(2) PCT) with regard to item 1.

5.1 It would appear that the use of telomerase inhibitors and in particular the compounds listed in <u>claim 2</u> for the preparation of a dermatologically acceptable composition for the application to an area of skin for the reduction of hair growth has not yet been disclosed within the prior art.

Novelty could thus be acknowledged for the subject-matter of claims 1-3, 6-21 and 24.

5.2 Novelty can however not be acknowledged for the subject-matter of claims 25, 26 and 28:

D6 discloses nucleoside analogues, among them for instance AZT which may be administered to destroy hair follicles (as a depilatory reagent) (p. 32, l. 9 and 24-29).

AZT is listed as telomerase inhibitor in the present <u>claim 2</u>, and therefore **D6** would anticipate the subject-matter of <u>claims 25, 26 and 28</u>.

5.3 D1, D2, D3 and D6 disclose pharmaceutical compositions comprising compounds selected from those defined in <u>claim 2</u>.

The subject-matter of claims 22, 23 and 27 can thus not be regarded novel.

6. Inventive Step (Art. 33(3) PCT) with regard to item 1.

D6 discloses the usefulness of AZT which is listed as telomerase inhibitor in the present claim 2 to destroy hair follicles (as a depilatory reagent).

The subject-matter of claims 1-3, 6-21 and 24 would **not** appear to be of such significance as to support an **inventive step**.

- 7. Industrial Applicability (Art. 33(4) PCT) with regard to item 1.
- 7.1 For the assessment of the present claims 1-21, 24 and 28 on the question whether they are industrially applicable, no unified orderia exist in the PCT Contracting States. The patentability can also be dependent upon the formulation of the claims. The EPO, for example, does not recognize as industrially applicable the subject-matter of claims to the use of a compound in medical treatment, but may allow, however, claims to a known compound for first use in medical treatment and the use of such

a compound for the manufacture of a medicament for a new medical treatment.

7.2 The requirements of industrial applicability would be fulfilled for the subject-matter of claims 22, 23, 25-27.